

## USPL Checklist: AO/AOD Excavation Practical

Student Name:	Evaluated By:
Student ID:	Evaluator Signature:
Evaluation Date:	

**Description:** Candidate must successfully complete all sections of the form. Indicate Pass, N/A or Fail for each item listed below. Complete the Asset Operator (AO)/Asset Operator Designee (AOD) role in the ATW and Permit issuing process for a typical Excavation Permit at the candidate's location as specified by the Evaluator.

<p>1.ATW POLICY</p> <p>Candidate completed the ATW form with input from the Performing Authority and the Workforce.</p>	Comments	Fail: <input type="checkbox"/> Pass: <input type="checkbox"/> N/A <input type="checkbox"/>
<p>2.ATW Policy</p> <p>Candidate reviewed the Level 2 HITRA to verify that the scope is appropriate, permits have been identified, and that it has been properly approved.</p>	Comments	Fail: <input type="checkbox"/> Pass: <input type="checkbox"/> N/A <input type="checkbox"/>
<p>3.ATW Policy</p> <p>Candidate reviewed and verified that permit conditions are deemed acceptable for the defined scope of work and affected equipment.</p> <p>Candidate issued the permit to the Performing Authority or can delegate permit issuance to the AOD.</p>	Comments	Fail: <input type="checkbox"/> Pass: <input type="checkbox"/> N/A <input type="checkbox"/>
<p>4.ATW Policy</p> <p>Candidate determined if changes can be made to a permit if permit conditions are exceeded or if the permit should be cancelled and a new permit issued.</p> <p>Candidate made changes to the permit by documenting their approval of the changes by initialing the changes where they are documented on the permit.</p> <p>Candidate described making changes to permits issued by telephone.</p> <p>Candidate described determining if changes to the Level 2 HITRA are necessary and how to facilitate those changes as the HITRA Leader prior to approving the changes to the permit.</p>	Comments	Fail: <input type="checkbox"/> Pass: <input type="checkbox"/> N/A <input type="checkbox"/>
<p>5.ATW POLICY</p> <p>Candidate received expired permits from the Performing Authority(s) and verified that the work written on the permit(s) has been completed.</p> <p>Candidate documented the expiration time on the permit(s) and on the ATW form in Section C.</p>	Comments	Fail: <input type="checkbox"/> Pass: <input type="checkbox"/> N/A <input type="checkbox"/>

<p>6.ATW POLICY</p> <p>Candidate updated the Permit Register when a permit was issued and then when it expired.</p>	<p>Comments</p>	<p>Fail: <input type="checkbox"/></p> <p>Pass: <input type="checkbox"/></p> <p>N/A <input type="checkbox"/></p>
<p>7. EXCAVATION</p> <p>Candidate demonstrated reviewing and issuing an Excavation Permit for Ground Disturbance for a USPL-Controlled Excavation to the Performing Authority for each of the following situation:</p> <ul style="list-style-type: none"> <li>• USPL Controlled Excavation greater than 4 ft. deep</li> <li>• USPL Controlled Excavation less than 4 ft. deep</li> <li>• Non-USPL Controlled Excavation greater than 4 ft. deep</li> </ul>	<p>Comments</p>	<p>Fail: <input type="checkbox"/></p> <p>Pass: <input type="checkbox"/></p> <p>N/A <input type="checkbox"/></p>
<p>8. EXCAVATION</p> <p>Candidate defined and described each of the following hazards and mitigations as they relate to Excavations:</p> <ul style="list-style-type: none"> <li>• Overhead electrical lines or tree limbs</li> <li>• Crossing under a load</li> <li>• Working near operating excavation equipment</li> <li>• Public vehicles or personnel</li> <li>• Vehicle traffic</li> <li>• Equipment working near the excavation</li> <li>• Underground installations (utilities, foreign lines or structures)</li> <li>• Cave in</li> <li>• Water</li> <li>• Atmosphere</li> <li>• Access and egress</li> <li>• Structures near the excavation</li> <li>• Working on the face</li> <li>• Ladders</li> <li>• Ramps</li> <li>• Crossing excavations</li> </ul>	<p>Comments</p>	<p>Fail: <input type="checkbox"/></p> <p>Pass: <input type="checkbox"/></p> <p>N/A <input type="checkbox"/></p>
<p>9. EXCAVATION</p> <p>Candidate listed and described Safe Excavation Practice requirements:</p> <ul style="list-style-type: none"> <li>• General Requirements including: <ul style="list-style-type: none"> <li>○ USPL STP 04-003, Site Preparation, Earthwork and In-Line Inspections Excavations</li> <li>○ USPL-GP 04-0112 Ditching &amp; Excavation</li> </ul> </li> <li>• One-Call System and Underground Utilities</li> <li>• Overhead Equipment, Fall Protection, Entry and Egress, Mobile Equipment</li> <li>• Water Accumulation</li> </ul>	<p>Comments</p>	<p>Fail: <input type="checkbox"/></p> <p>Pass: <input type="checkbox"/></p> <p>N/A <input type="checkbox"/></p>

<p>10. EXCAVATION</p> <p>Candidate listed and described Air Monitoring requirements:</p> <ul style="list-style-type: none"> <li>• General Requirements</li> <li>• Conditions While Excavating</li> <li>• Daily Pre-Entry Air Monitoring</li> <li>• Continuous Air Monitoring</li> <li>• Periodic Air Monitoring for Specific Contaminants</li> <li>• Confirmed Hazardous Atmospheres</li> </ul>	<p>Comments</p>	<p>Fail: <input type="checkbox"/></p> <p>Pass: <input type="checkbox"/></p> <p>N/A <input type="checkbox"/></p>
<p>11. EXCAVATION</p> <p>Candidate listed and described Emergency Rescue requirements:</p> <ul style="list-style-type: none"> <li>• Rescue Plan</li> <li>• Equipment</li> </ul>	<p>Comments</p>	<p>Fail: <input type="checkbox"/></p> <p>Pass: <input type="checkbox"/></p> <p>N/A <input type="checkbox"/></p>
<p>12. EXCAVATION</p> <p>Candidate listed and described Protective Systems requirements</p> <ul style="list-style-type: none"> <li>• General Requirements</li> <li>• Shoring Systems</li> <li>• Sloping Systems</li> <li>• Support Systems, Shielding Systems, and Other Protective Systems</li> <li>• Materials and Equipment for Protective Systems</li> </ul>	<p>Comments</p>	<p>Fail: <input type="checkbox"/></p> <p>Pass: <input type="checkbox"/></p> <p>N/A <input type="checkbox"/></p>
<p>13. COMPLETION</p> <p>The above-named employee has successfully demonstrated performing the role of Asset Operator/Asset Operator Designee for the scenario listed above.</p>	<p>Comments</p>	<p>Fail: <input type="checkbox"/></p> <p>Pass: <input type="checkbox"/></p> <p>N/A <input type="checkbox"/></p>

